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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q96589

Hiroshi SUGIYAMA, et al.

Appln. No.: 10/598,789

Group Art Unit: Unknown

Confirmation No.: 1416

Examiner: Unknown

Filed: September 12, 2006

For: NOVEL INDOLE DERIVATIVE FOR ALKYLATING SPECIFIC BASE SEQUENCE OF DNA
AND ALKYLATING AGENT AND DRUG CONTAINING THE DERIVATIVE

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. J.M. Turner, et al. "Recognition of Seven Base Pair Sequences in the Minor Groove of DNA by Ten-Ring Pyrrole-Imidazole Polyamide Hairpins", J. Am. Chem. Soc., (1997), 119, pp. 7636-7644 with Abstract.
2. R. Clairac, et al. "NMR Characterization of Hairpin Polyamide Complexes with the Minor Groove of DNA", Journal of the American Chemical Society, Vol. 119, No. 34, August 27, 1997 with Abstract.
3. A. Chang, et al. "Strand Selective Cleavage of DNA by Diastereomjers of Hairpin Polyamide-*seco*-CBI Conjugates", J. Am. Chem. Soc., (2000), 122, pp. 4856-4864 with Abstract.

INFORMATION DISCLOSURE STATEMENT

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4. Z. Tao, et al. "Rational Design of Sequences-Specific DNA Alkylating Agents Based on Duocarmycin A and Pyrrole- Imidazole Hairpin Polyamides", J. Am. Chem. Soc., (1999), 121, pp. 4961-4967 with Abstract.
5. Z. Tao, et al. "Highly Cooperative DNA Dialkylation by the Homodimer of Imidazole-Pyrrole Diamide-CPI Conjugate with Vinyl Linker", J. Am. Chem. Soc., (2000), 122, pp. 1602-1608 with Abstract.
6. T. Bando, et al. "Molecular Design of a Pyrrole-Imidazole Hairpin Polyamides for Effective DNA Alkylation", Chem.Eur. J., (2002), 8, No. 20, pp. 4781-4790 with Abstract.
7. D. Boger, et al. "An Improved Synthesis of 1,2,9,9a-Tetrahydrocyclopropa[c]benz[e]indol-4-one (CBI): A Simplified Analogue of the CC-1065 Alkylation Subunit", J. Org. Chem., (1992), 57, pp. 2873-2876.
8. D. Boger, et al. "An Efficient Synthesis of 1,2,9,9a-Tetrahydrocyclopropa[c]benz[e]indol-4-one (CBI): An Enhanced and Simplified Analog of the CC-1065 and Duocarmycin Alkylation Subunits", J. Org. Chem., (1995), 60, pp. 1271-1275.
9. D. Boger, et al. "Synthesis of *N*-(*tert*-Butyloxycarbonyl)-CBI, CBI, CBI-CDPI₁, and CBI-CDPI₂: Enhanced Functional Analogues of CC-1065 Incorporating the 1,2,9,9a-Tetrahydrocyclopropa[c]benz[e]indol-4-one (CBI) Left-Hand Subunit", J. Org. Chem., (1990), 55, pp. 5823-5832.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under § 1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

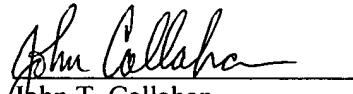
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The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,


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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: January 5, 2007

Substitute for Form 1449 A & B/PTO			Complete if Known	
			Application Number	10/598,789
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Confirmation Number	1416
			Filing Date	September 12, 2006
			First Named Inventor	Hiroshi SUGIYAMA
			Art Unit	Unknown
			Examiner Name	Unknown
Sheet 1 of 2			Attorney Docket Number	Q96589

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US			
		US			

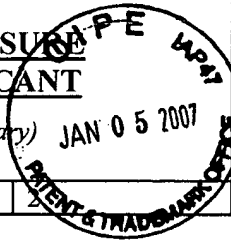
FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
		J.M. Turner, et al. "Recognition of Seven Base Pair Sequences in the Minor Groove of DNA by Ten-Ring Pyrrole-Imidazole Polyamide Hairpins", J. Am. Chem. Soc., (1997), 119, pp. 7636-7644 with Abstract.	
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Examiner Signature		Date Considered	
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		D. Boger, et al. "An Efficient Synthesis of 1,2,9,9a-Tetrahydrocyclopropa[c]benz[e]indol-4-one (CBI): An Enhanced and Simplified Analog of the CC-1065 and Duocarmycin Alkylolation Subunits", J. Org. Chem., (1995), 60, pp. 1271-1275.	
		D. Boger, et al. "Synthesis of <i>N</i> -(<i>tert</i> -Butyloxycarbonyl)-CBI, CBI, CBI-CDPI ₁ , and CBI-CDPI ₂ : Enhanced Functional Analogues of CC-1065 Incorporating the 1,2,9,9a-Tetrahydrocyclopropa[c]benz[e]indol-4-one (CBI) Left-Hand Subunit", J. Org. Chem., (1990), 55, pp. 5823-5832.	

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